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Attorney Docket No. 108298515US3
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3723

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/888,002	
	Filing Date	June 21, 2001	
	First Named Inventor	Whonchee Lee	
	Art Unit	3723	
	Examiner Name	Dung V. Nguyen	
Total Number of Pages in This Submission	4*	Attorney Docket Number	108298515US3

ENCLOSURES (Check all that apply)		
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	John M. Wechkin Registration No. 42,216
Signature	
Date	November 24, 2003

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
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Disclosure No. 00-1130

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: WHONCHEE LEE *ET AL.*
APPLICATION NO.: 09/888,002
FILED: JUNE 21, 2001
FOR: METHODS AND APPARATUS FOR
ELECTRICALLY AND/OR CHEMICALLY-
MECHANICALLY REMOVING CONDUCTIVE
MATERIAL FROM A MICROELECTRONIC
SUBSTRATE

EXAMINER: DUNG V. NGUYEN
ART UNIT: 3723
CONF. NO: 9049

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Supplemental Information Disclosure Statement
Before First Office Action after the Filing of a Request for Continued
Examination Under 37 C.F.R. § 1.114 – 37 C.F.R. § 1.97(b)(4)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Timing of Submission

This information disclosure is being filed before the mailing date of a first Office action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114 [37 C.F.R. § 1.97(b)(4)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

☒ Copies of the following references are enclosed:

- ☒ All cited references
- ☐ References marked by asterisks
- ☐ The following:

3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment

No fees are believed due because this Information Disclosure Statement is being filed before the mailing date of the first Office Action.

- ☐ Applicant further submits that no fee is due in light of the following certification under 37 C.F.R. § 1.97(e) (check only one):
- ☐ In accordance with 37 C.F.R. § 1.97(e)(1), the undersigned hereby states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement; or
- ☐ In accordance with 37 C.F.R. § 1.97(e)(2), the undersigned hereby states that no item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of this statement.

However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 50-0665.

Date: Nov. 24, 2003

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